On behalf of GenomeDx Biosciences, we provide an overview of the GenomeDx Decipher assay and respectfully request the NCCN Prostate Cancer Guidelines Panel to review the enclosed data in support for inclusion of additional data for the tumor tissue-based molecular assay (Decipher®) in the NCCN clinical practice guidelines for localized prostate cancer. The Decipher genomic classifier (GC) has demonstrated, across multiple studies, the ability to provide accurate predictions of important clinical endpoints such as prostate cancer-specific mortality, metastasis and biochemical recurrence when assessed from initial prostate needle biopsy or surgical specimens. In particular, Decipher can provide additional prognostic risk stratification within categories of established NCCN clinical risk groups or nomograms such as CAPRA-S. Decipher is currently included in the current version of the NCCN guidelines in PROS-2, PROS-3 and PROS-11 (Version 2.2018), and we appreciate the NCCN’s consideration of these additional modifications.

Overview of Decipher:

The ability to obtain complete molecular information from small samples of routinely collected pathological tissue is beginning to revolutionize how we diagnose, classify and treat malignancies. GenomeDx Biosciences was founded on the premise of bringing clinically actionable genomic information to clinicians and patients. The Decipher product represents a CLIA and CAP certified, whole genome microarray covering 1.4 million probes, with which expression of coding and non-coding RNAs is measured. On this platform, many molecular signatures are developed, validated and calibrated. The most thoroughly evaluated signature on this platform is the Decipher Genomic Classifier (GC), which is a prognostic signature of prostate cancer metastasis risk, now evaluated in several dozen studies and in prospective clinical utility trials. The majority of this letter and our requests to the NCCN relate to this genomic signature. Recent publications have described predictive signatures and molecular subtype classifiers of prostate cancer developed on the Decipher platform and available when Decipher is ordered on biopsy tissue or radical prostatectomy specimen [15-17]. These signatures describe patients with increased response to radiation therapy, benefit from adjuvant hormonal therapy and also can classify prostate cancer into luminal (more androgen receptor driven) and basal subtypes. We include information regarding these signatures in this document as well, however will await further independent validation prior to request for guideline inclusion.

Specific Changes Requested:

Request 1: We recommend modification to PROS 2-11 as described below.

PROS-2 The guidelines do not recommend the use of gene expression testing for unfavorable intermediate or high risk prostate cancer. However, the recently developed and validated clinical-genomic risk groups incorporating NCCN and Decipher risk groups using an easy to use summation method significantly improves risk stratification for these men [11] and can alter management decisions. Combining NCCN-Decipher has been shown to reclassify about 2/3 of patients staged by NCCN risk groups in a prospective analysis of nearly 6,000 patients. For example, among NCCN unfavorable intermediate risk patients 35% were reclassified to a lower risk tier with low rates of metastasis comparable to NCCN favorable intermediate risk patients. In addition, about 41% of NCCN unfavorable intermediate risk patients were reclassified to a higher risk tier with metastatic event rates similar to NCCN high risk patients. In a study of unfavorable intermediate risk men treated in a Canadian Phase II single-arm clinical trial of image-guided IMRT without any hormonal
manipulation, researchers found about 70% of men had low risk Decipher scores with <5% experienced biochemical failure and 0% metastasis at 5 years [12]. Finally, a study of NCCN intermediate and high risk patients treated with radiation and 4-6 months of ADT showed no metastatic events at 5 years among men with low Decipher risk scores [13, 14]. Therefore, Decipher can stratify this population beyond NCCN risk groupings and may be a consideration to guide hormone therapy use for patients being treated with definitive radiotherapy. This is similar to recent adoption by NCCN of the Zumsteg and Spratt et al., 2013 favorable/unfavorable intermediate risk sub-stratification. Based on retrospective analyses the researchers were able to show favorable intermediate risk did not benefit from additional ADT (see Appendix Figure 1).

PROS-3, footnote L: Decipher has been shown to predict for prostate cancer-specific mortality and distant metastasis after initial biopsy for patients treated with radiotherapy or surgery, independent of clinical risk models such as NCCN or CAPRA. Please add this to the statement which currently only mentions this for patients after prostatectomy [7] (see Appendix Figure 2).

PROS-4 – PROS-8: When considering adjuvant therapy after prostatectomy, given the relatively high number needed to treat (NNT) to prevent metastasis seen in randomized controlled studies (NNT=12¹), we contend that individualized risk assessment and shared decision making should be employed. In PROS-4 through 8, when adverse pathological features are present after prostatectomy we suggest the inclusion of bullet points to calculate nomogram predictors of clinical or biochemical progression (i.e. CAPRA-S which has been validated in this setting) and additionally a bullet point for the Decipher as an independent prognostic marker that can augment the accuracy of these nomograms [1-7, 18-35], can reduce NNT to 3 [4] and can help decrease decision uncertainty and patient anxiety [6] (see Appendix Figure 2). The main body of evidence in over 1,600 unique patients with adverse pathology after RP from 10 multi-institutional cohort studies shows the following:

1) Decipher has improved discriminatory performance compared to clinical and pathological factors for predicting prostate cancer mortality, metastasis and biochemical failure

2) Decipher consistently shows significant association to prostate cancer mortality, metastasis and biochemical failure compared to other clinical and pathological factors tested

3) Decipher low and average risk patients had lower likelihood of recurrence or death as compared to Decipher high risk patients, who likely require adjuvant therapy.

PROS-11: Reference to be added: Among men with persistent PSA after prostatectomy Decipher has been shown to accurately reclassify risk and predict metastatic outcomes [7]. Decipher low and average risk patients had favorable outcomes as compared to Decipher high risk patients, who likely require intensification with systemic therapies (see Appendix Figure 4).

PROS-D 2 OF 3: For patients considering radiation therapy in PROS-D 2 OF 3, we suggest the addition to footnote 2 stating that “Decipher assay can be considered to provide an additional independent measure of metastasis risk.” [12-14] (see Appendix Figure 5).

PROS-D 3 OF 3: For patients considering post-prostatectomy radiation therapy in PROS-D 3 OF 3, we suggest adding the statement, “Men with adverse risk features after RP may consider the use of Decipher. Retrospective studies have shown that Decipher performed on RP specimens provides likelihood of prostate cancer-specific mortality, metastasis and biochemical failure.” [1-7, 18-35] (see Appendix Figure 6).

Request 2: We recommend adding the additional data as indicated below in red to Table 1 on page MS-47 (Version 2.2018).

Table 1. Available Tissue-Based Tests for Prostate Cancer Prognosis

### Decipher

<table>
<thead>
<tr>
<th>Test</th>
<th>Platform</th>
<th>Populations studied</th>
<th>Outcomes Reported (Test Independently predicts)</th>
<th>References</th>
<th>Molecular Diagnostic Services Program (MolDx) Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decipher</td>
<td>Whole-transcriptome 1.4 RNA expression (44,000 genes) oligonucleotide microarray optimized for FFPE tissue</td>
<td>Post radical prostatectomy (RP), adverse pathology/high-risk features</td>
<td>Metastasis (GC) Prostate cancer-specific Mortality (GC) Post operative Radiation Sensitivity (PORTOS), Luminal or Basal Subtype, or ADT Response Signature (ADT-RS)</td>
<td>110, 415-424, <em>Additional references provided below [1-17]</em></td>
<td>Cover post-RP for 1) pT2 with positive margins; 2) any pT3 disease; 3) rising PSA (above nadir)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Post RP, biochemical recurrence</td>
<td>Metastasis (GC) Prostate cancer-specific Mortality (GC) Post operative Radiation Sensitivity (PORTOS), Luminal or Basal Subtype, or ADT Response Signature (ADT-RS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Post RP, adjuvant or salvage radiotherapy</td>
<td>Metastasis (GC) Prostate cancer-specific Mortality (GC) Post operative Radiation Sensitivity (PORTOS), Luminal or Basal Subtype or ADT Response Signature (ADT-RS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Biopsy, localized prostate cancer treated with RP or EBRT</td>
<td>Metastasis (GC) Prostate cancer-specific Mortality (GC), Gleason grade 4 or higher disease at RP</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Only sections of the table regarding Decipher are shown. Newly recommended additions are highlighted in red. GC refers to the Decipher Genomic Classifier [1-11, 14-31]. PORTOS refers to the 24 gene Post Operative Radiation Therapy Outcomes Score [15]. Luminal and Basal Subtypes refer to the 50 gene PAM50 subtype classifier [16]. ADT-RS refers to the 84 gene ADT Response Signature [17]. All signatures are available with the Decipher assay.

**FDA Clearance:**

Performance of Decipher Prostate Cancer Classifier is regulated and certified as a laboratory developed test under the Clinical Laboratory Improvement Amendments (CLIA), the College of American Pathologists (CAP) and New York State Department of Health. FDA clearance is not required for this assay.

**Rationale:**

Since the publication of NCCN Prostate Cancer Guidelines, Version 2.2018, there has been a number of new validation and clinical utility studies published for the Decipher GC assay. The new extended data further validates the Decipher prostate cancer GC as an independent predictor of biochemical failure, metastasis and prostate cancer specific death in both academic and community based practice settings from both initial prostate needle biopsy or surgical specimens. In summary, the Decipher assay has been validated in over 2,500 unique patients from over 10 multi-institutional cohorts of men treated for prostate cancer with radical prostatectomy as reported in over 30 peer-reviewed publications and in over 250 patients in 3 publications treated with primary radiation therapy (with or without concomitant androgen deprivation).

**Citation of literature (selected):**

**Additional Clinical Validation and Clinical Utility Studies for Decipher Prostate RP:**


*Additional Clinical Validation and Clinical Utility Studies for Decipher Prostate Biopsy:


*Studies Describing Additional Signatures Available with the Decipher Assay:

15. Zhao S.G. et al. The Development and Validation of a 24-gene Predictor of Response to Post-operative Radiation Therapy in Prostate Cancer: A Matched Retrospective Analysis. Lancet Oncol. 2016 Nov; 17(11):1612-1620 – Defined and validated a 24 gene signature predicting post operative response to radiation therapy (PORTOS). This signature was not prognostic of outcome in men not treated with post-operative radiation, however in men treated with post operative radiation, tumors from men with high PORTOS scores demonstrated a 7 fold improved metastasis free survival when compared to men with low PORTOS scores

16. Zhao S.G. et al. Associations of luminal and basal subtyping of prostate cancer with prognosis and response to androgen deprivation therapy. *JAMA Oncology*. 2017. doi: 10.1001/jamaoncol.2017.0751 – Defined and validated a micro-arry derived PAM50 classifier which groups prostate cancer into luminal A, luminal B and basal subtypes, similar to groupings defined in breast cancer. Luminal subtypes demonstrated increased expression of canonical androgen responsive genes and luminal B tumors (when compared to non-luminal B subtypes) had improved oncological outcomes when androgen deprivation therapy was employed in the post operative setting.


Studies demonstrating superior performance of Decipher for predicting survival and clinical efficacy post-RP:


**Studies demonstrating utility of Decipher in the adjuvant and salvage settings:**


**Studies demonstrating impact of Decipher on clinical decision making for urologists and radiation oncologists:**


Sincerely,

Elai Davicioni, PhD
President & Chief Scientific Officer
GenomeDx Biosciences
San Diego, CA